

Industry 4.0 – The “4th Industrial Revolution”

“The Importance of Analytics in Today’s Research”

Pragmatic Algorithms, Predictive Models and Improved Performance for the Bio-Based Industries



UTAgRESEARCH
INSTITUTE OF AGRICULTURE
THE UNIVERSITY OF TENNESSEE

Timothy M. Young, PhD

Professor | Graduate Director

University of Tennessee

Center for Renewable Carbon

2506 Jacob Drive

Knoxville, TN 37996-4570

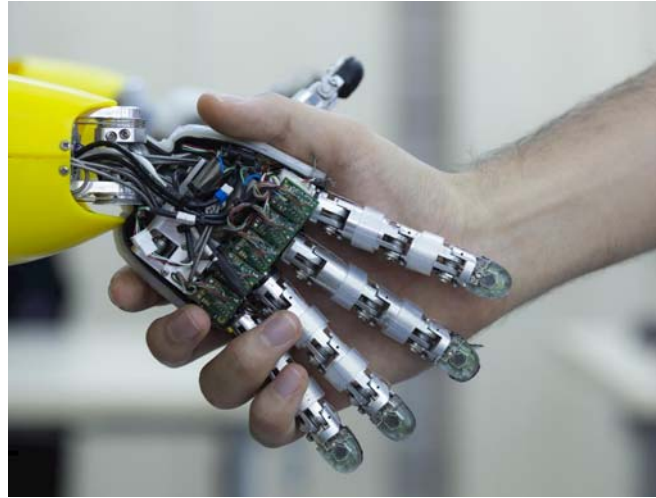
Tel: 865.946.1119

tmyoung1@utk.edu

www.spc4lean.com



Industry 4.0 – The “4th Industrial Revolution”

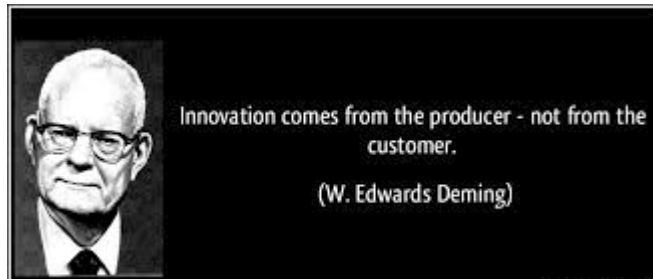
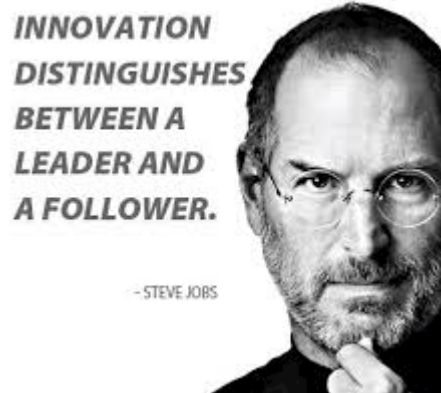


Analytics is Revolutionizing Today's Business World

The New Competitive Advantage!

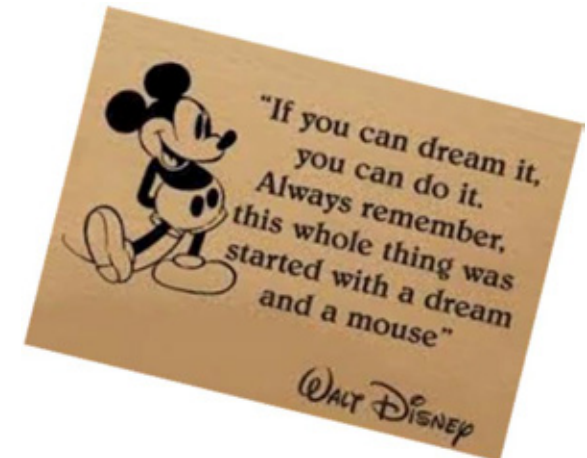
Are University Researchers Aligned in Innovation with this New Revolution?

'Analytics' in R&D and Process Application is Key to Success in 'Industry 4.0'



Innovation is the process of turning ideas into manufacturable and marketable form.

Watts Humphrey



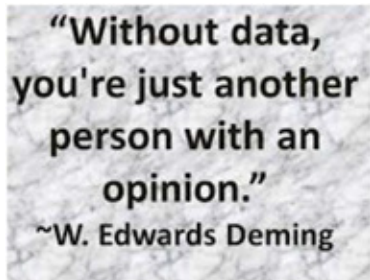
Understanding variation is the key to success in quality and business.

W. Edwards Deming

'Analytics' in R&D is Key to Success in this Era

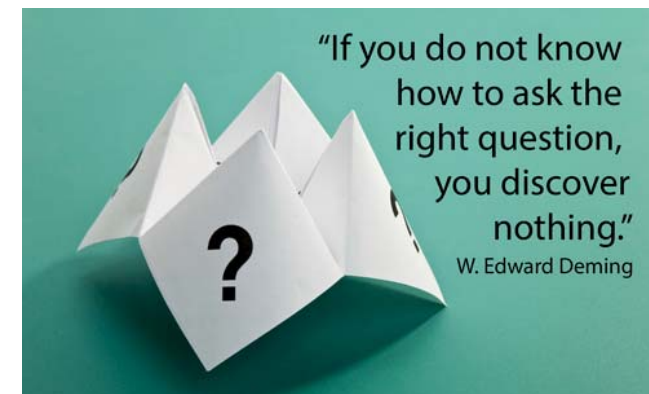
Data  *Information*  *Analytics*  *Knowledge*

"People . . . operate with beliefs and biases. To the extent you can eliminate both and replace them with data, you gain a clear advantage. —Michael Lewis, Moneyball: The Art of Winning an Unfair Game"



Some of the best theorizing comes after collecting data because then you become aware of another reality." – [Robert J. Shiller](#), Winner of the Nobel Prize in Economics

"As Data Scientists, our job is to Extract the Signal from the Noise"
G. Taguchi



Foundation of Industry 4.0

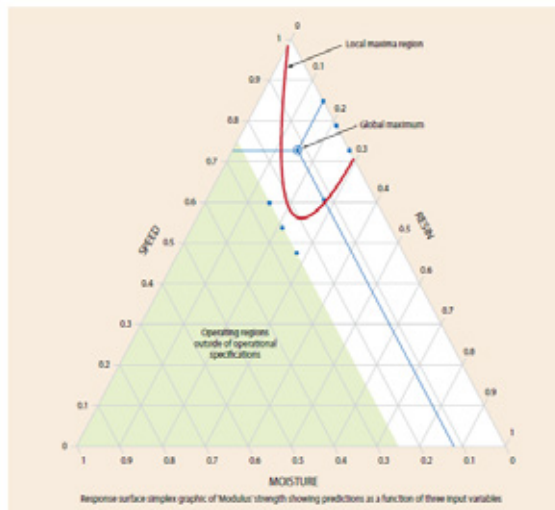
Study of Variation of Processes – Discovery for Improvement and Optimization



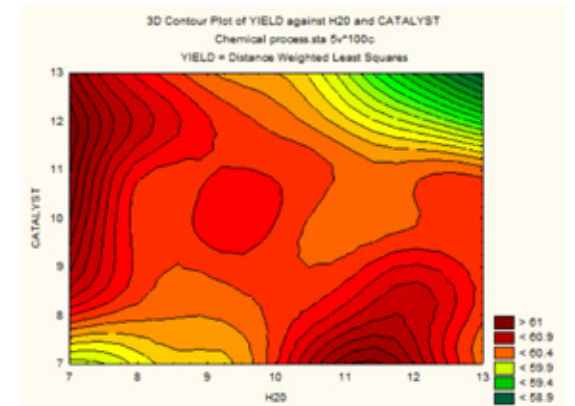
“The Fourth Industrial Revolution”:

Computers and automation will come together in an entirely new way, with robotics connected remotely to computer systems equipped with machine learning algorithms that can learn and control the robotics with very little input from human operators.

Machines will discover optimization opportunities not feasible by ‘mankind alone’



Knowledge of Bio-based Systems is Important for Making Innovation Success in Manufacturing



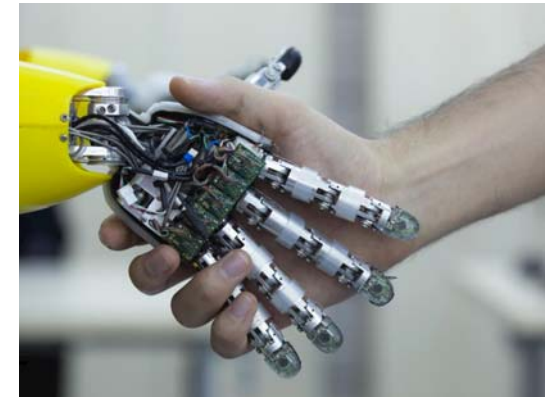
Industry 4.0 – The Fourth Industrial Revolution



And now we enter Industry 4.0, in which computers and automation will come together in an entirely new way, with robotics connected remotely to computer systems equipped with machine learning algorithms that can learn and control the robotics with very little input from human operators.

For a factory or system to be considered Industry 4.0, it must include:

- q **Interoperability** — machines, devices, sensors and people that connect and communicate with one another.
- q **Information transparency** — the systems create a virtual copy of the physical world through sensor data in order to contextualize information.
- q **Technical assistance** — both the ability of the systems to support humans in making decisions and solving problems *and* the ability to assist humans with tasks that are too difficult or unsafe for humans.
- q **Decentralized decision-making** — the ability of cyber-physical systems to make simple decisions on their own and become as autonomous as possible.



Industry 4.0 – The Fourth Industrial Revolution

“Global Perspective”



USA
Manufacturing
Renaissance

- Formation of a ‘National Network for Manufacturing Innovation’
- Lower cost energy initiatives

**Smart
Manufacturing
Leadership
Coalition**



Deutschland
Erhaltung der Führenden
Industrie Position

- Nachhaltige Investitionen in innovative Stärkefaktoren
- Hoher Exportanteil

Industrie 4.0 als neues
Gestaltungsprinzip



China
Higher product quality
by use of high-end
technology

- Rising wages
- Need for quality driven demand for automation
- Energy efficient legislation

**Intelligent
Manufacturing**



Japan
A cohesive ‘innovation
program as all levels’

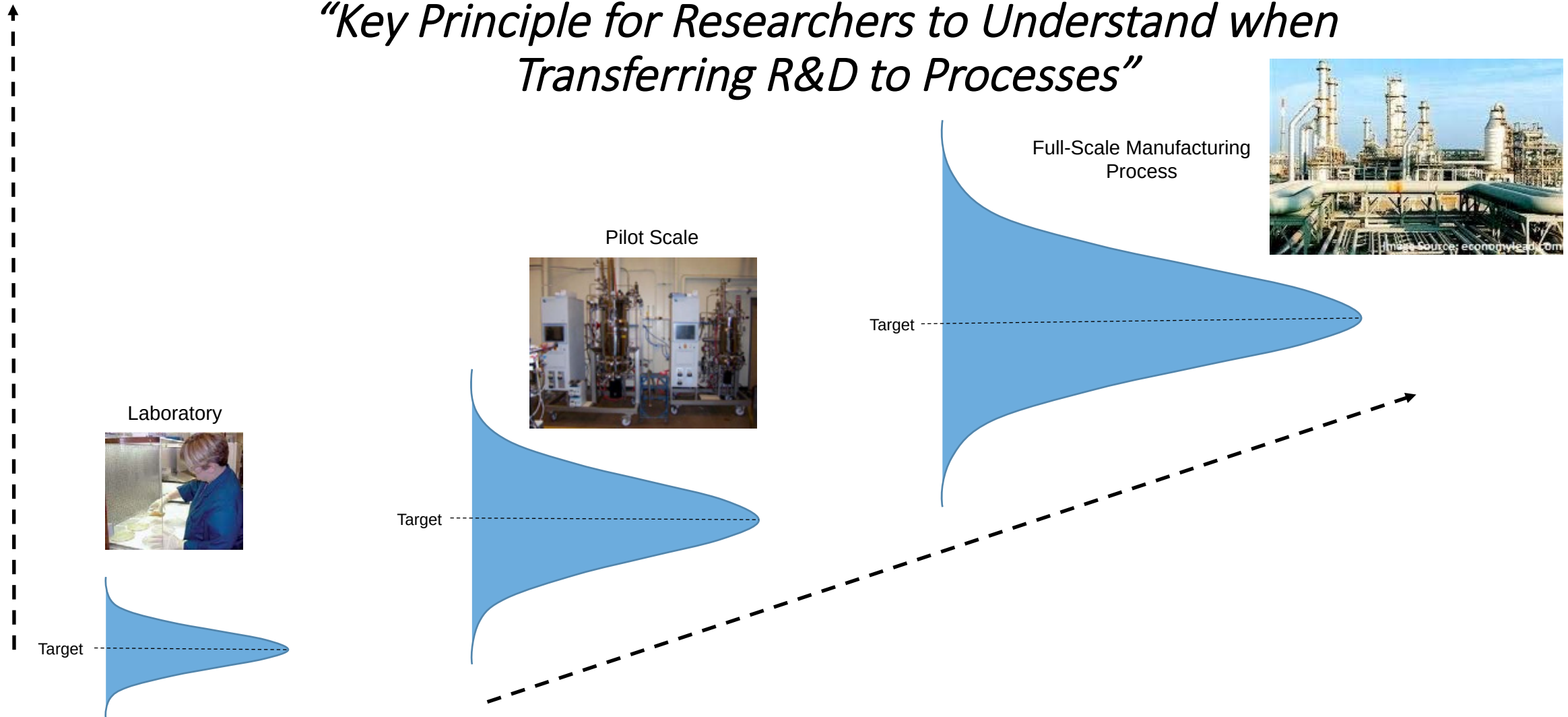
- Science, technology and industry linked together
- Retain manufacturing of complex products

Innovation 25 initiative

Do University Researchers Understand This?

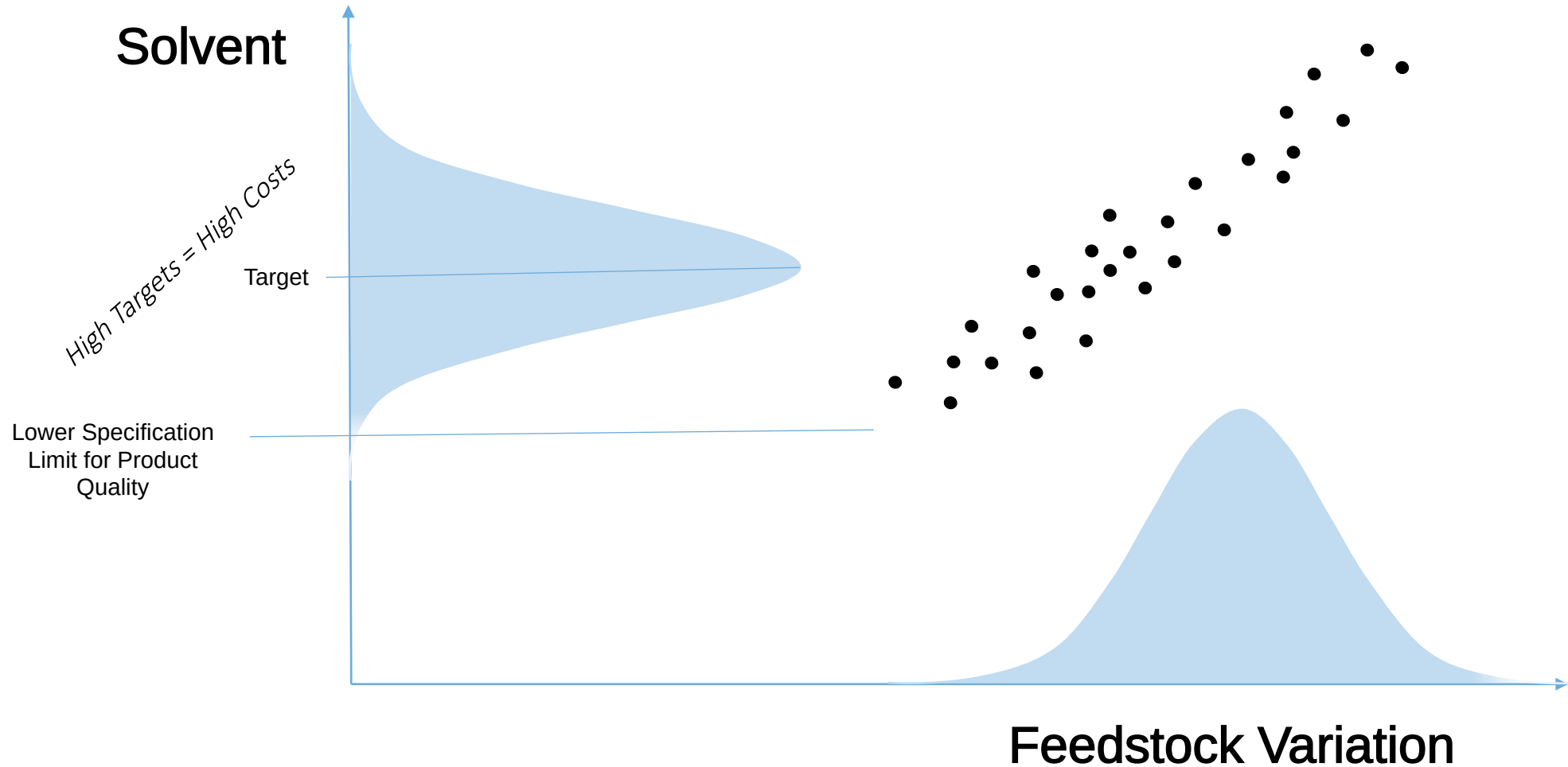
Variation is Cumulative

“Key Principle for Researchers to Understand when Transferring R&D to Processes”



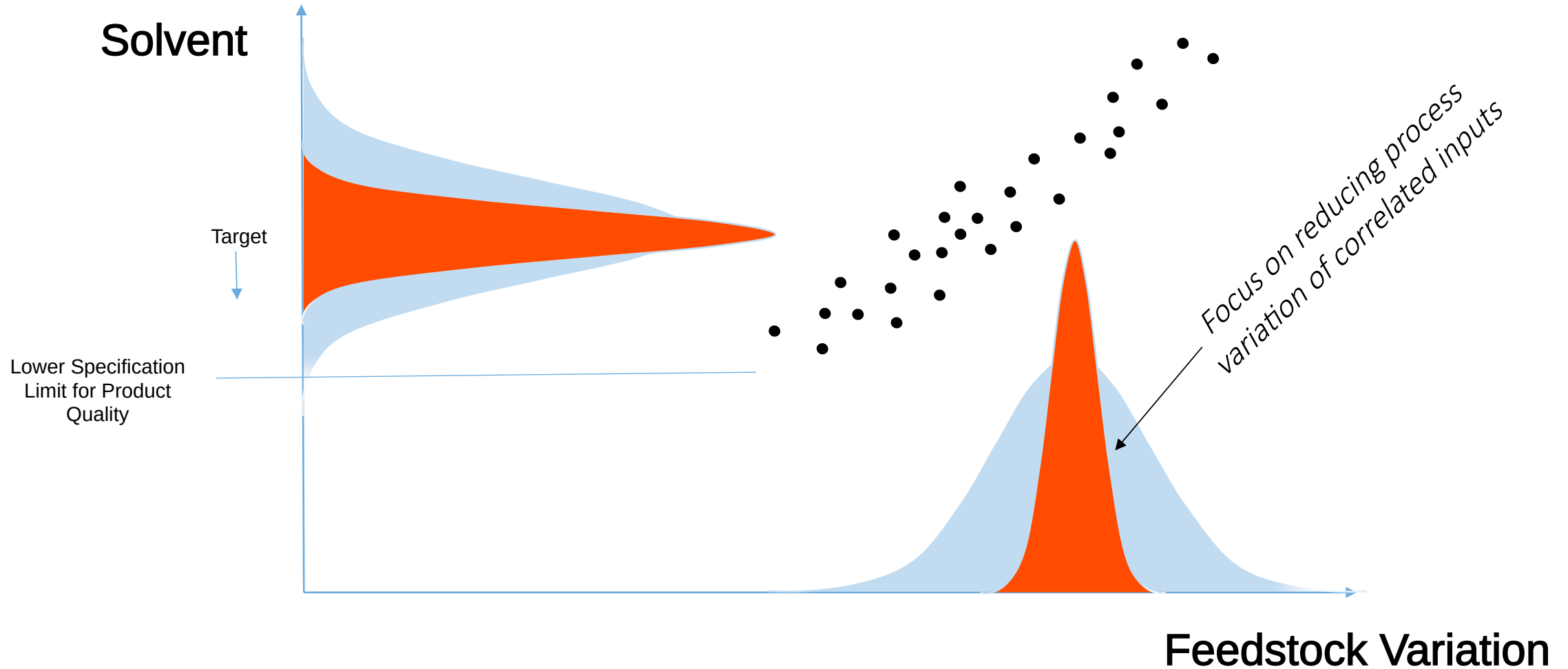
Variation Influences Process Targets

'Targets' for Additives in Pilot Studies $\neq$ 'Targets' in Manufacturing Process



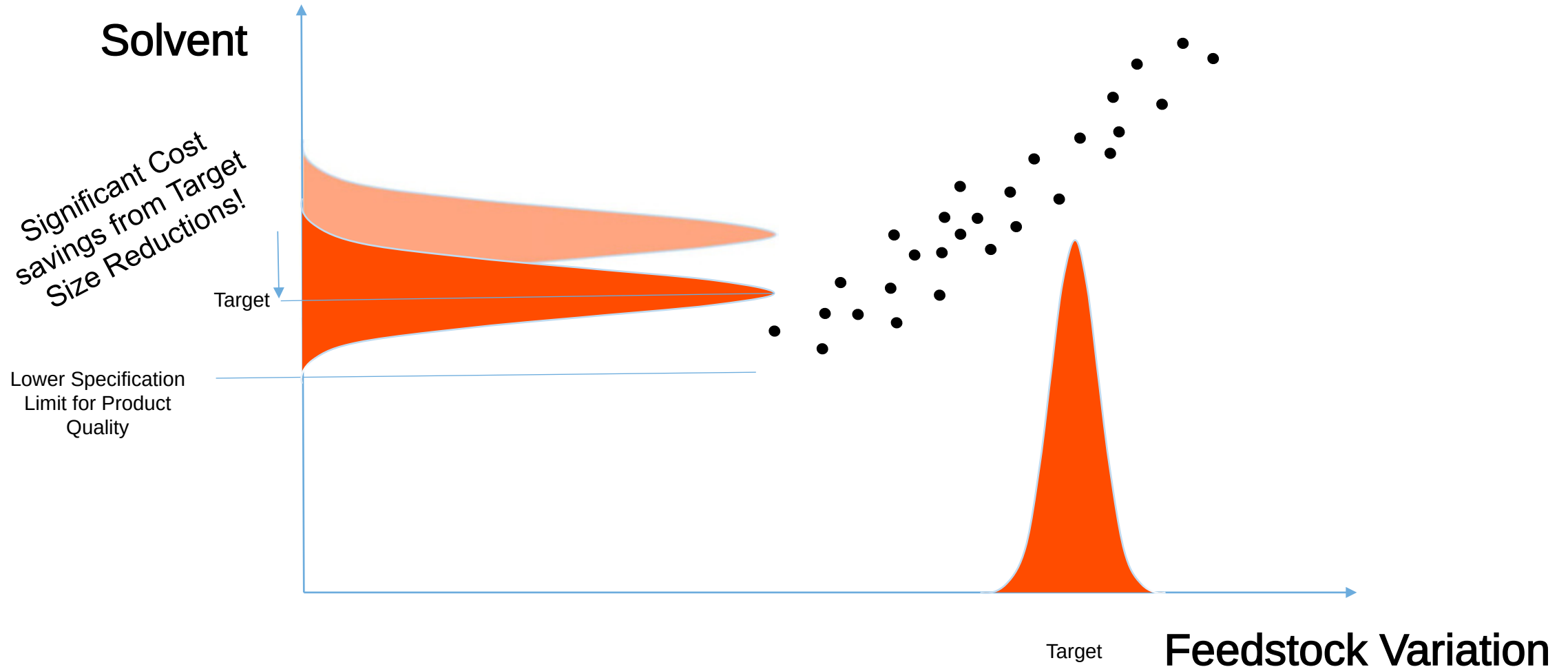
Variation Influences Process Targets

“Focus on Reducing Variation of Key Process Inputs”



Variation Influences Process Targets

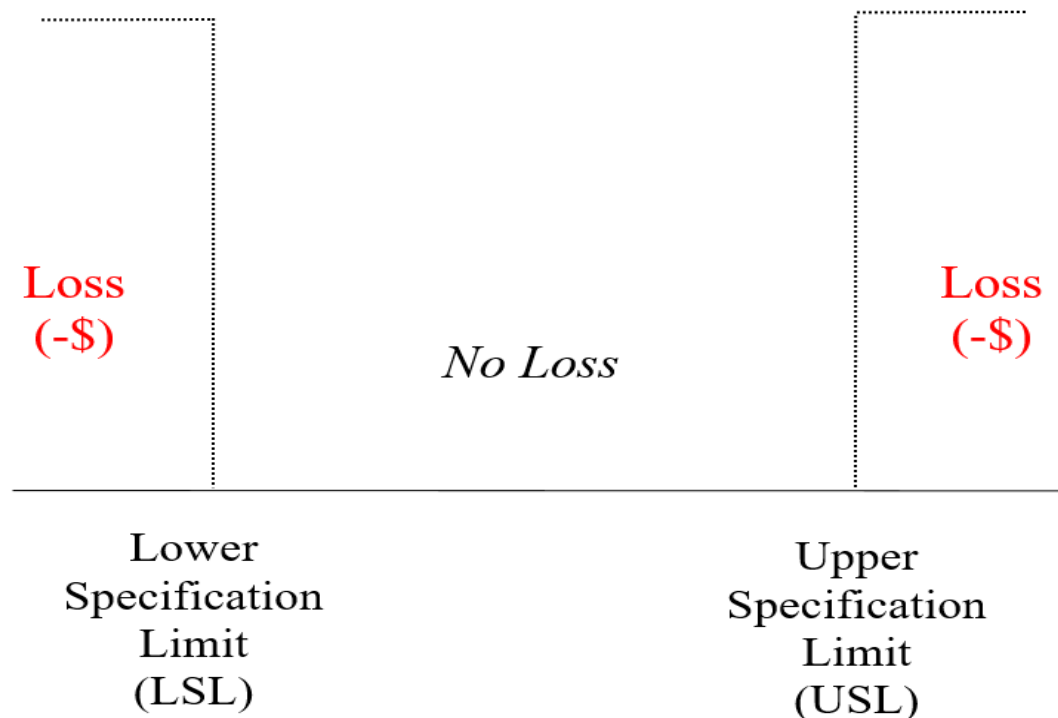
“Focus on Reducing Variation of Key Process Inputs”



Variation is Directly Related to Economic Loss

“Product Quality is often Incorrectly viewed as Conformance to Specifications”

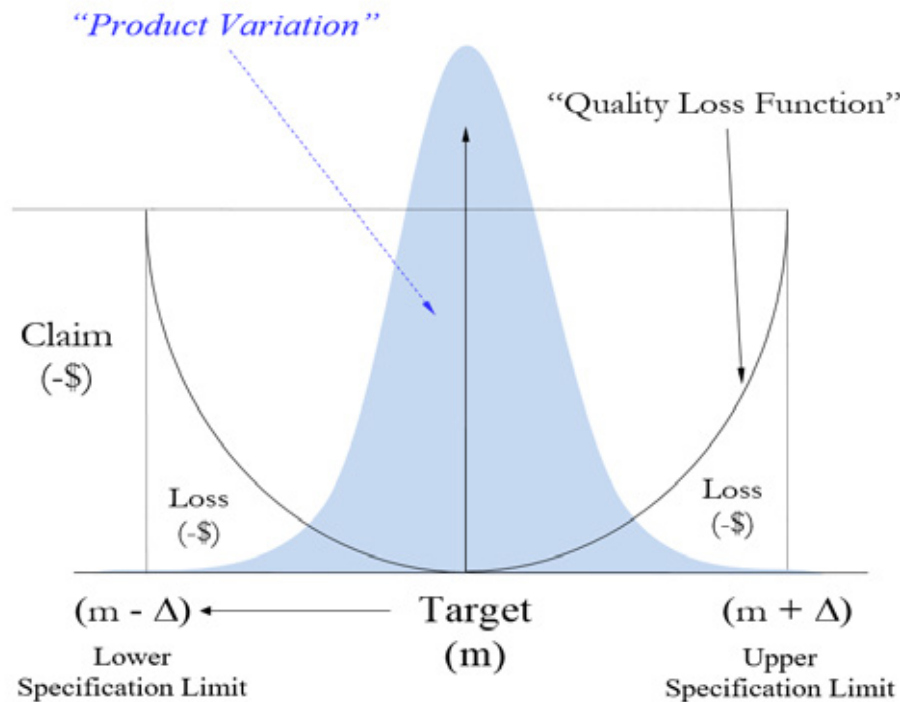
Noncompetitive Viewpoint: “No Loss unless product is outside of specification,” *i.e., outside of specification translates to loss of customer due to claims or warranty replacement*



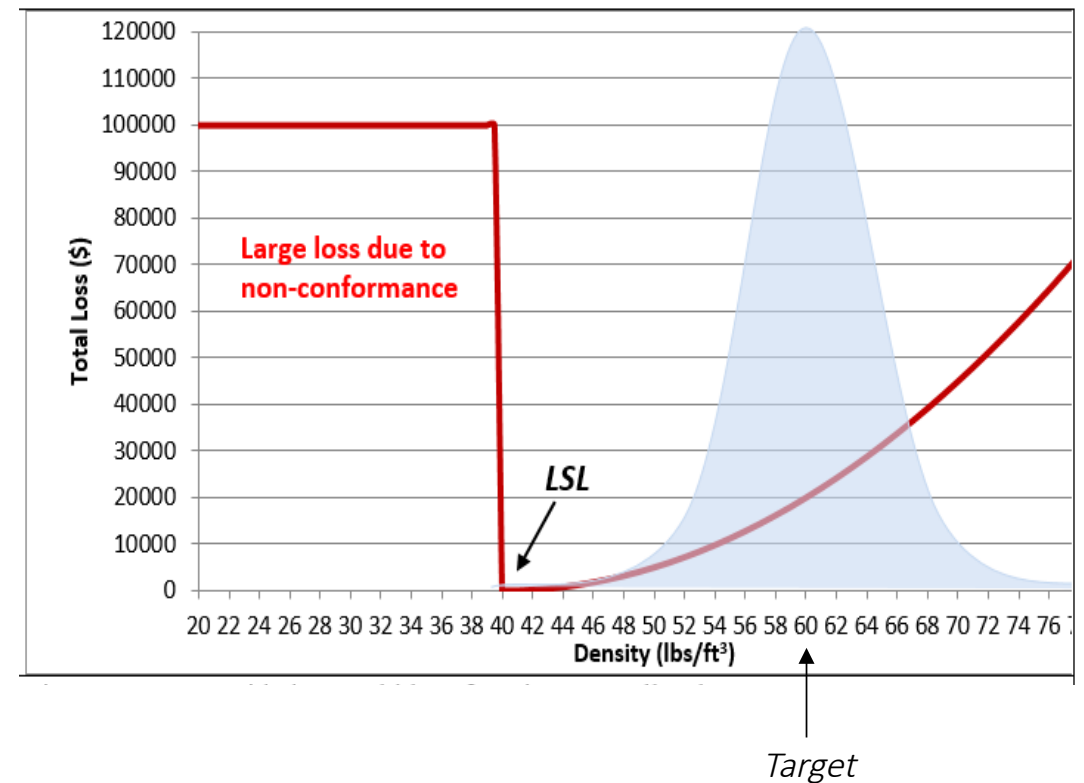
Variation is Directly Related to Economic Loss

“Actually Loss Increases at an Increasing Rate as a Function of Process Variation”

Two Specifications Example



One Specification Example



Young, T., N. André, and J. Otjen. 2015. Quantifying the natural variation of formaldehyde emissions for wood composite panels. Forest Products Journal. 65(3/4):S82-S84.

Variation is Cumulative

“Must account for Entire System Variation and Reliability of System”

Galton's Principle - Variation is Cumulative



Sir Francis Galton (1822–1911)

*Quantify the Components of Variance

(X,Y independent) – Parallel System:

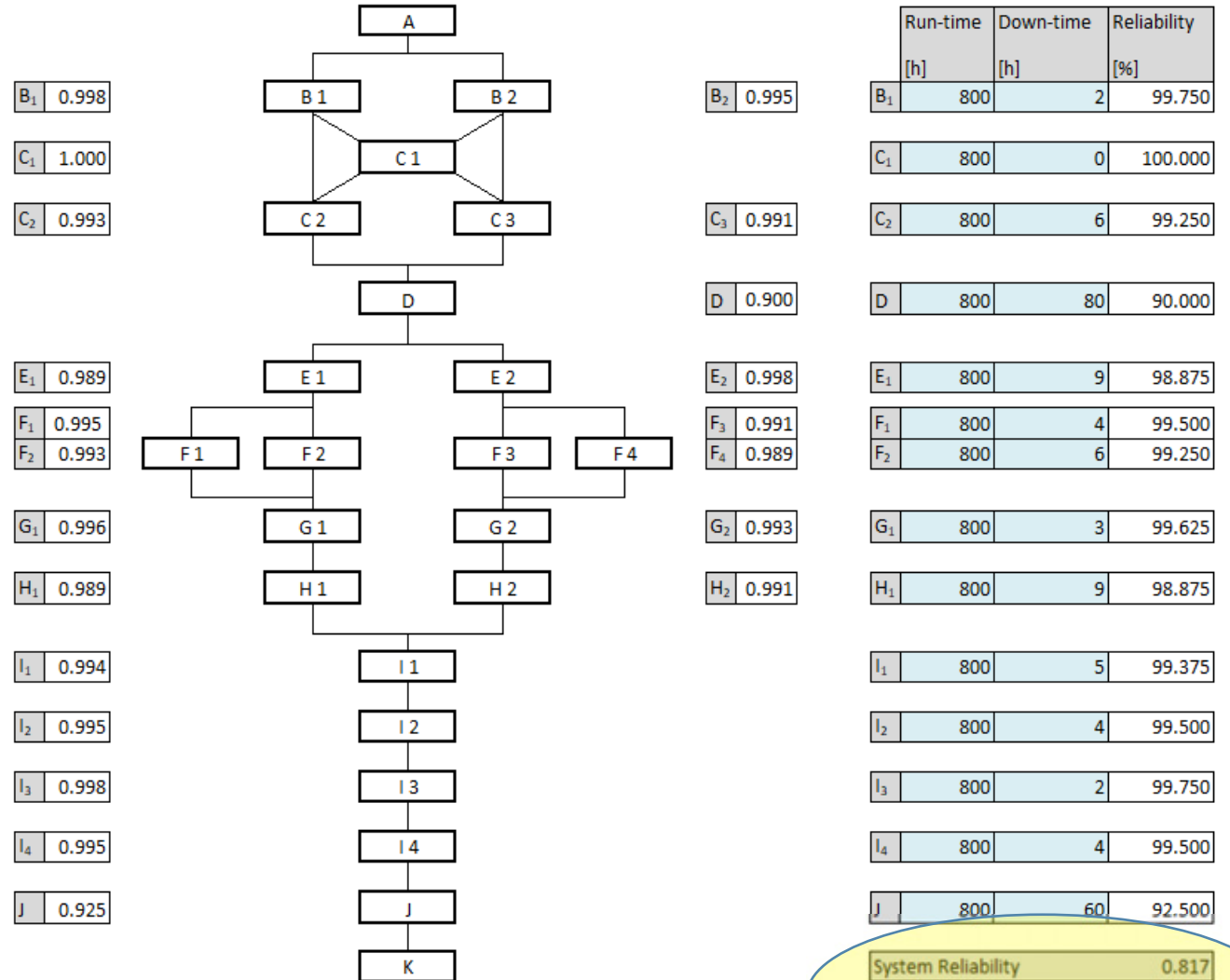
$$\text{Var}(X + Y) = \text{Var}X + \text{Var}Y$$

(X,Y dependent): - Series System:

$$\text{Var}(X + Y) = \text{Var}X + \text{Var}Y \pm 2\text{Cov}(X,Y)$$

or,

$$\text{Var}(aX + bY) = a^2\text{Var}X + b^2\text{Var}Y \pm 2ab\text{Cov}(X,Y)$$



Variation is Cumulative

“Reduce System Variation by Focusing on Component(s) with Largest Variation”

Galton's Principle - Variation is Cumulative



Sir Francis Galton (1822–1911)

*Quantify the Components of Variance

(X,Y independent) – Parallel System:

$$\text{Var}(X + Y) = \text{Var}X + \text{Var}Y$$

(X,Y dependent): - Series System:

$$\text{Var}(X + Y) = \text{Var}X + \text{Var}Y \pm 2\text{Cov}(X,Y)$$

or,

$$\text{Var}(aX + bY) = a^2\text{Var}X + b^2\text{Var}Y \pm 2ab\text{Cov}(X,Y)$$

B ₁	0.998
----------------	-------

C ₁	1.000
----------------	-------

C ₂	0.993
----------------	-------

E ₁	0.989
----------------	-------

F ₁	0.995
----------------	-------

F ₂	0.993
----------------	-------

G ₁	0.996
----------------	-------

H ₁	0.989
----------------	-------

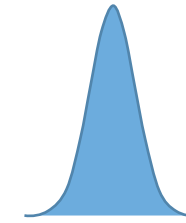
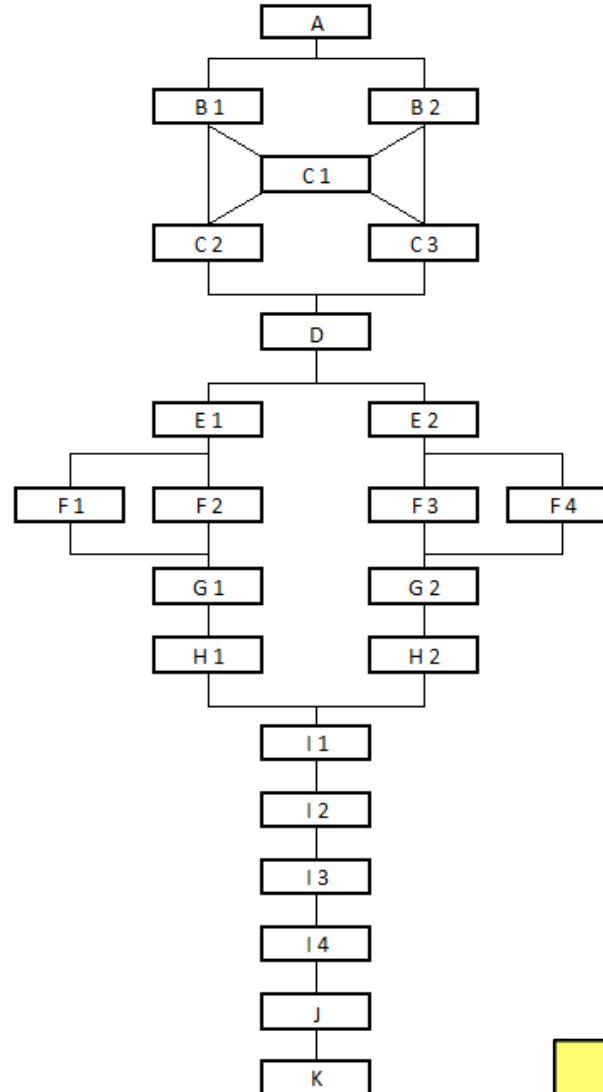
I ₁	0.994
----------------	-------

I ₂	0.995
----------------	-------

I ₃	0.998
----------------	-------

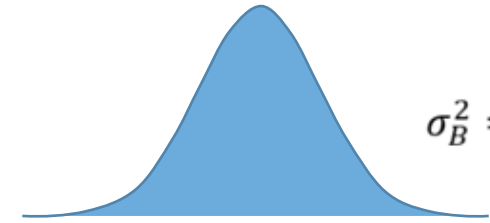
I ₄	0.995
----------------	-------

J	0.925
---	-------



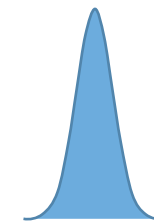
Subsystem A

$$\sigma_A^2 = 15 \text{ units}$$



Subsystem B

$$\sigma_B^2 = 40 \text{ units}$$

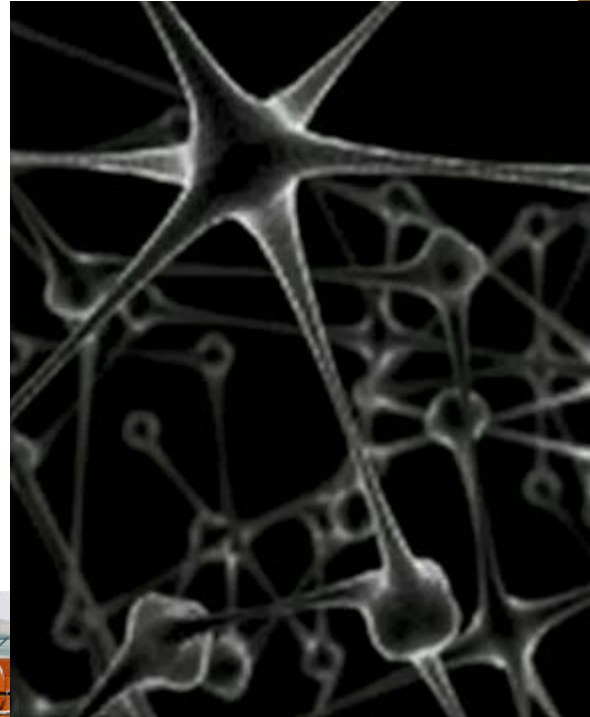
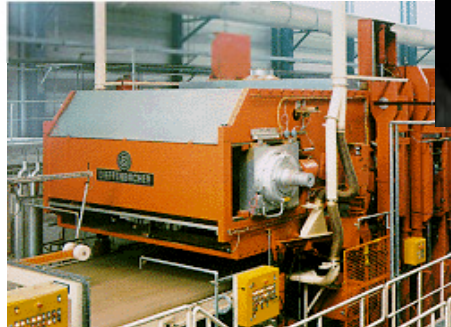


Subsystem C

$$\sigma_C^2 = 10 \text{ units}$$

$$\sigma_{TOTAL}^2 = \sigma_A^2 + \sigma_B^2 + \sigma_C^2 = 65 \text{ units}$$

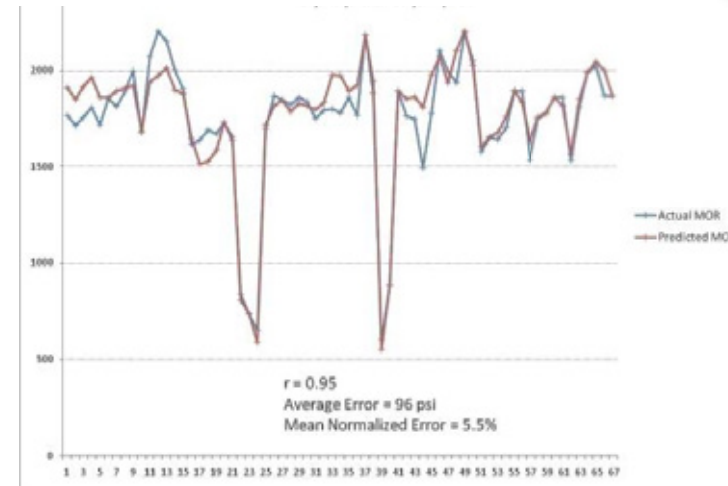
Role of Contemporary Analytics in Manufacturing is Changing Rapidly!



Pragmatic Algorithms

Why the Need for Industry 4.0?

- q Status Quo (*is it OK?*)
- q Data Fusion (*Collect a Lot of Data, much is not in a Useful Form*)
- q Predictive Knowledge (*How can it help?*)



“Survival in business is not compulsory”

W.E. Deming



Status Quo

“Delayed test data to operator – Like Driving Your Car looking in Rearview Mirror”

- q Time delay of critical strength information from testing lab is like driving your car ‘looking in the review window’
- q If operator assumptions due to ‘*time delay*’ were always correct, there would be no loss
 - q Engineered panels and wood composite panels have annual losses due to rework and scrap from 0.1% to 1.3%
 - q For a modern mill, that can equate to almost 1MM lineal feet of waste and opportunity costs (wood, resin, energy, labor, etc.)
 - q Millions of Euros and Dollars in loss

Process Modeling Not Possible without Relational Databases

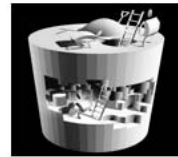
"Plants collect a lot of data, but most data are not organized and aligned in a useful format for data mining, etc."

Non-trivial Problem: Automated Data Fusion

Mills collect a lot of data, "data rich" but "knowledge poor"



Event Data



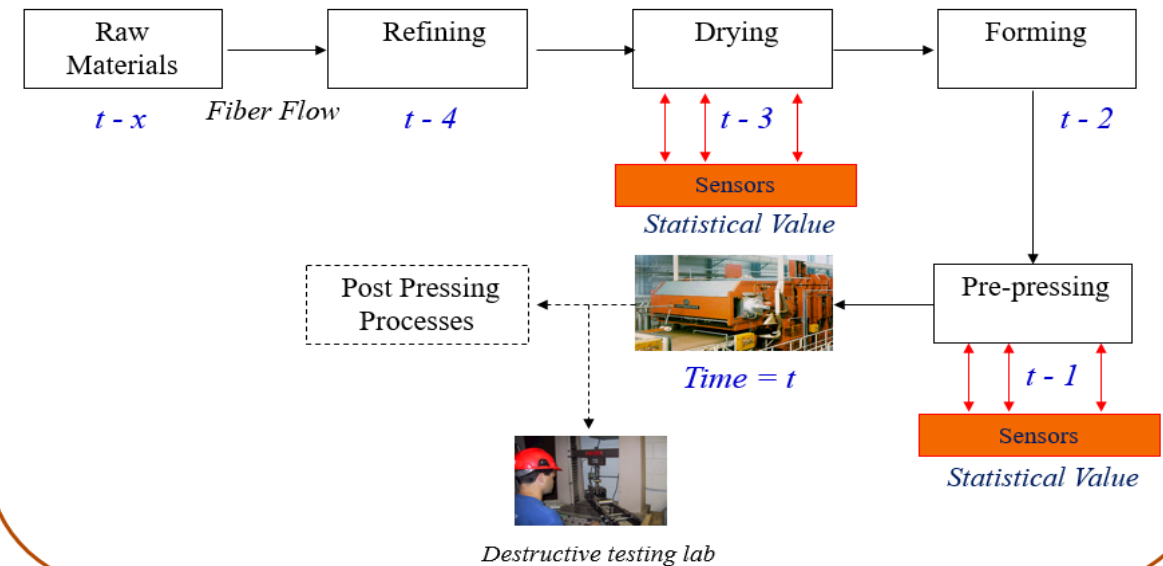
Data Warehouse
Real-Time Data

Microsoft SQL Code

A	B	C	D	E	F	G	H	I	J	K		
1	DateTime	EventLogKey	Internal_Bond	FT3224P	Mat_Density	PO_LENGTH	PO_DENSITY	PO_THICK	PO_WIDTH	TARGET_WEIGHT		
2	12/3/01 1:57 PM	29686	113.1	11.5	277	3.623237848	292	46	0.75	61		
3	12/3/01 3:14 PM	29687	131.1	11.5	256	1000061	3	582202458	292	46		
4	12/3/01 4:47 PM	29688	125.3	11.5	276	36000061	3	880998392	292	46		
5	12/3/01 6:28 PM	29689	118	11.5	245	389801789	292	46	0.75	61		
6	12/3/01 7:59 PM	29691	167.1	16.5	360.75	3	754187984	220	49	0.75		
7	12/3/01 10:20 PM	29692	117.5	11	10000038	236	1999989	3	645809889	244	46	
8	12/4/01 12:28 AM	29693	107.5	10	69999981	236	1499939	3	621489259	244	46	
9	12/4/01 2:29 AM	29694	73.2	23.5	420	9500122	3	941770077	257	38		
10	12/4/01 3:27 AM	29695	88.6	23.5	384	3999939	3	939185381	257	38		
11	12/4/01 5:35 AM	29696	106	23.5	418	1499939	3	846761703	257	38		
12	12/4/01 7:41 AM	29698	118.5	23.5	437	1499939	3	658029845	257	38		
13	12/4/01 10:22 AM	29699	139.8	11	80000019	170	6500031	3	695400715	293	46	
14	12/4/01 6:33 PM	29601	129.2	11	170	6500061	3	403090239	293	46		
15	12/4/01 10:10 PM	29602	127	11	236	6000061	3	449604511	257	48		
16	12/5/01 12:14 AM	29603	131.1	11	219	3	22696275	244	46	0.375		
17	12/5/01 3:18 AM	29604	122.6	10.5	206	0500031	3	412866579	293	48		
18	12/5/01 6:16 AM	29605	138.3	10	173	5500031	3	561776638	293	48		
19	12/5/01 8:35 AM	29607	124.7	11	153	1000061	3	643088675	293	46		
20	12/5/01 10:40 AM	29608	191	199	799878	16	353	8999939	3	647865772		
21	12/5/01 12:30 PM	29609	199	1000061	14.5	250	5500031	3	626342867	293	48	
22	12/5/01 2:47 PM	29610	132.9	11	19999981	191	1999989	3	302553568	293	46	
23	12/5/01 8:30 PM	29613	148.5	14	253	8999989	3	236383385	293	46		
24	12/5/01 10:31 PM	29614	188.1	16	294	2999878	3	700302466	293	46		
25	12/6/01 1:37 AM	29615	122.2	12	39999962	160	0500031	3	297223091	293	45	
26	12/6/01 4:49 AM	29616	120.2	12	39999962	169	1499939	3	390036821	292	45	
27	12/6/01 9:25 AM	29620	105	12	39999962	173	8999989	3	496263236	244	45	
28	12/6/01 9:29 AM	29621	105	12	39999962	170.5	3	542196512	244	45		
29	12/6/01 11:17 AM	29622	115	3000031	13	19999981	158	3000031	3	648831512	293	45
30	12/6/01 12:20 PM	29623	115	3000031	13	19999981	151	6499939	3	464804807	293	45
31	12/6/01 9:12 PM	29629	129	2999878	11	60000038	254	6999989	3	701920271	292	46
32	12/6/01 10:56 PM	29630	131	8999939	11	60000038	232	3000031	3	542490244	220	46

Relational Database

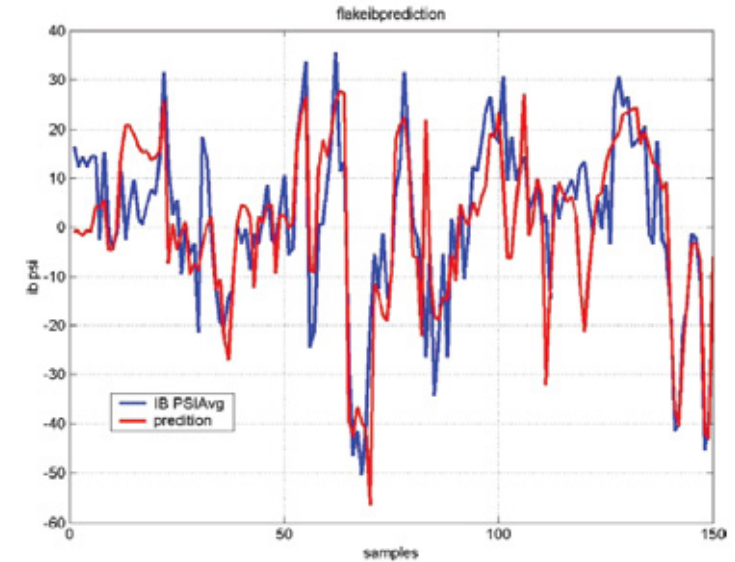
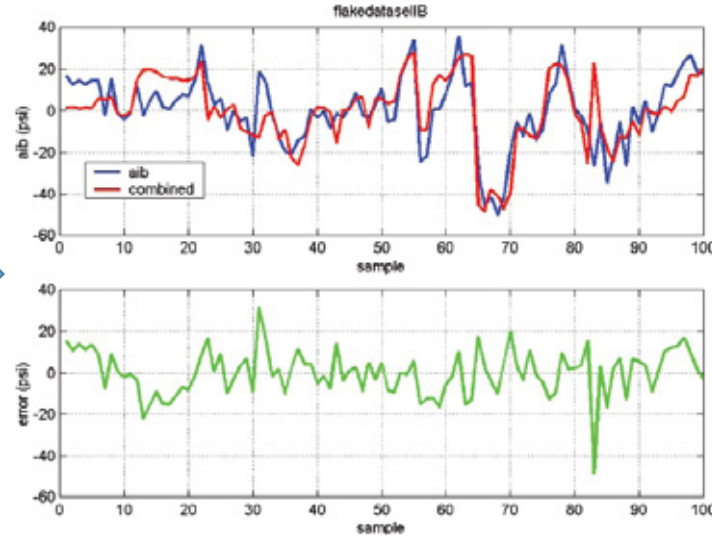
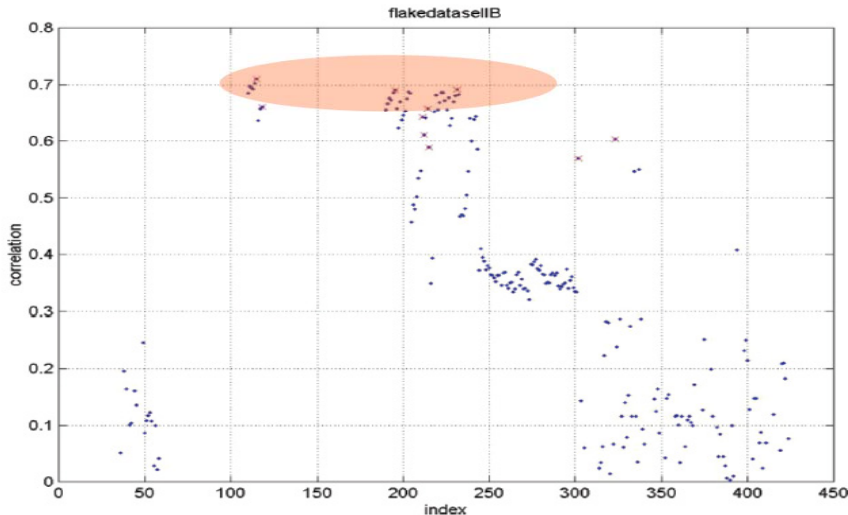
Automated Data Fusion (Problem of Time Alignment)



Quantifying the Key Relationships with Input Variables and Product Attributes

“Real-Time Process Modeling”

IB		
Process variable original index	Process variable name	Correlation with IB
121	DPCsnd_MPot_pAv_4_06	0.709958
237	DPCsnd_ThCt_pAv_2_14	0.691467
201	DPCsnd_ThCt_pAv_1_06	0.690315
124	DPCsnd_MPot_pAv_4_09	0.660752
220	DPCsnd_ThCt_pAv_1_25	0.658104
217	DPCsnd_ThCt_pAv_1_22	0.642236
218	DPCsnd_ThCt_pAv_1_23	0.611288
329	RSV_Current_Board_Thickness	0.603462
221	DPCsnd_ThCt_pAv_1_26	0.589202
308	HT_CPS_SPEED_AV_US	0.568949



Young, T.M., R.V. León, C.-H. Chen, *W. Chen, F.M. Guess, and *D.J. Edwards. 2015. Robustly estimating lower percentiles when observations are costly. *Quality Engineering*. 27:361-373.

*Carty, D.M., T.M. Young, R.L. Zaretski, F.M. Guess, and A. Petutschnigg. 2015. Predicting the strength properties of wood composites using boosted regression trees. *Forest Products Journal*. 65(7/8):365-371.

*Riegler, M., N. André, M. Gronalt, and T. Young. 2015. Dynamic simulation of the continuous flow of bulk material during production to improve the statistical modeling of final product strength properties. *International Journal of Production Research*. 53(21):6629–6636.

Young, T.M., N.E. Clapp, Jr., F.M. Guess, and C.-H. Chen. 2014. Predicting key reliability response with limited response data. *Quality Engineering*. 26(2):223-232.

Ensemble Real-time Process Modeling

Problem: Reduce generalized error of “real-time” prediction by combining predictions from several models and algorithms into an “ensemble”

- § Partial Least Squares
- § Ridge Regression
- § Neural Networks
- § Genetic Algorithms
- § Neural Networks
- § Bayesian Adaptive Regression Trees
- § Etc.



Visualization and Prediction!

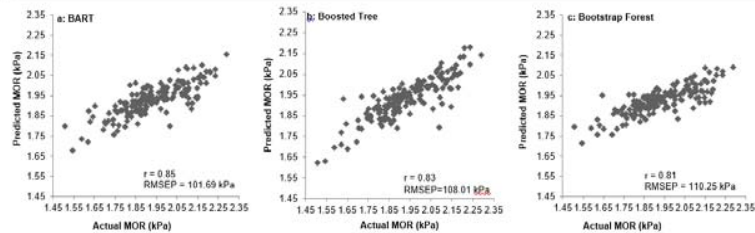


Fig1. Plots of Predicted 0.5 inches MOR ($\times 10^3 \text{ kPa}$) versus Actual MOR ($\times 10^3 \text{ kPa}$) under the first three best prediction models

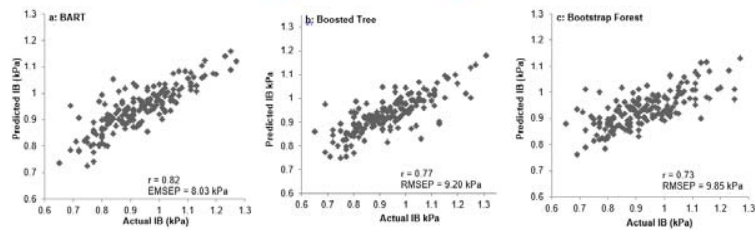
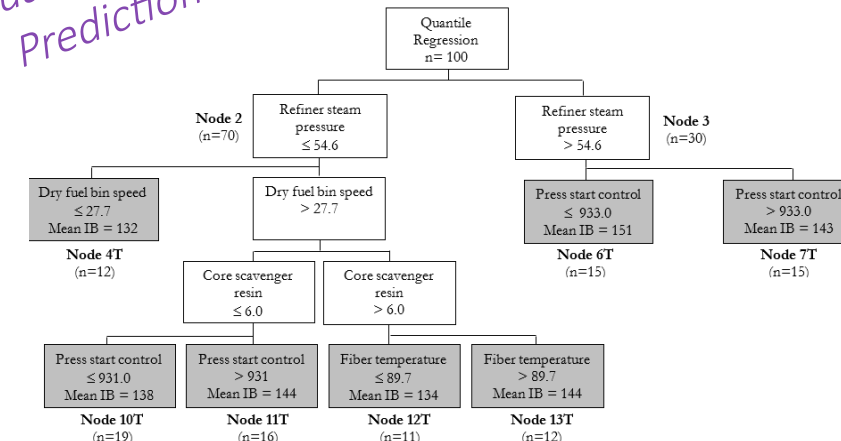


Fig2. Plots of Predicted 0.5 inches IB ($\times 10^3 \text{ kPa}$) versus Actual IB ($\times 10^3 \text{ kPa}$) under the first three best prediction models



*Tian, N., Sun, S., Pei, Z. and T.M. Young. 2017. Improved Predictive Modeling of Wood Composite Properties Using Bayesian Additive Regression Tree (BART). Wood Science and Technology. *In Review*

Quantifying the Key Relationships with Input Variables and Product Attributes

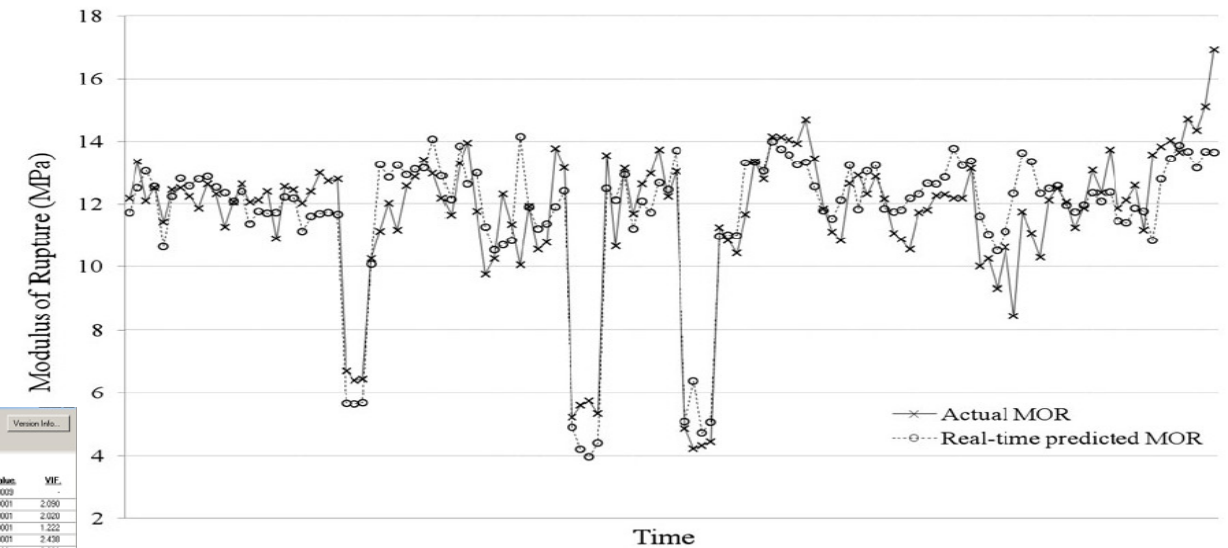
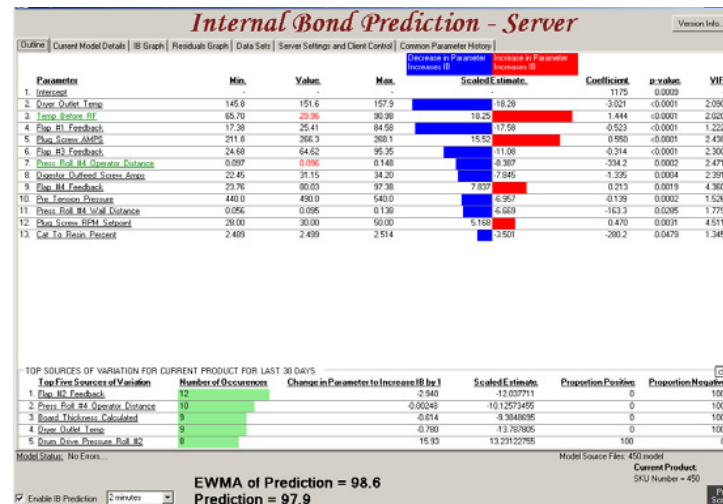
“Real-Time Process – Ensemble Modeling”

Table 2 On-line validation results for IB and MOR by regression method

Tab. 2 Online-Validierungsergebnisse für die Querkzugfestigkeit und die Biegefestigkeit in Abhängigkeit des Regressionsverfahrens

Response	<i>n</i>	<i>p</i>	Method	<i>r</i>	RMSEP	MNRMSEP (%)
IB	126	75	NN	0.71	96.5 kPa	19.3
			RR	0.80	75.8 kPa	15.5
			PLS	0.81	75.1 kPa	15.0
			MLR	0.76	82.7 kPa	16.5
			Voting	0.82	73.8 kPa	14.7
MOR	126	75	NN	0.84	1.26 MPa	10.8
			RR	0.89	1.05 MPa	9.0
			PLS	0.81	1.39 MPa	12.0
			MLR	0.87	1.17 MPa	10.0
			Voting	0.88	1.12 MPa	9.6

n number of samples/observations; *p* number of process variables; *r* correlation coefficient; *RMSEP* root mean square error of prediction; *MNRMSEP* mean normalized RMSEP



André N. and T.M. Young. 2013. Real-time process modeling of particleboard manufacture using variable selection and regression methods ensemble. European Journal of Wood and Wood Products. (Eur. J. Wood Prod. Holz als Roh- und Werkstoff). 71(3): 361-370.

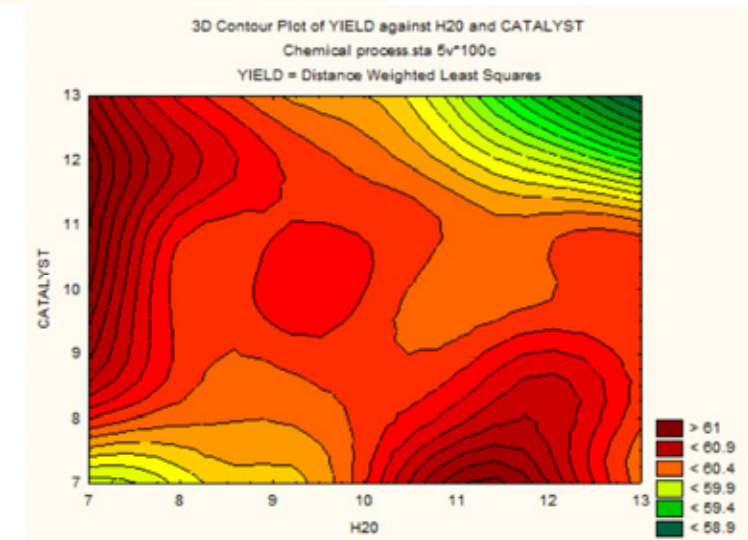
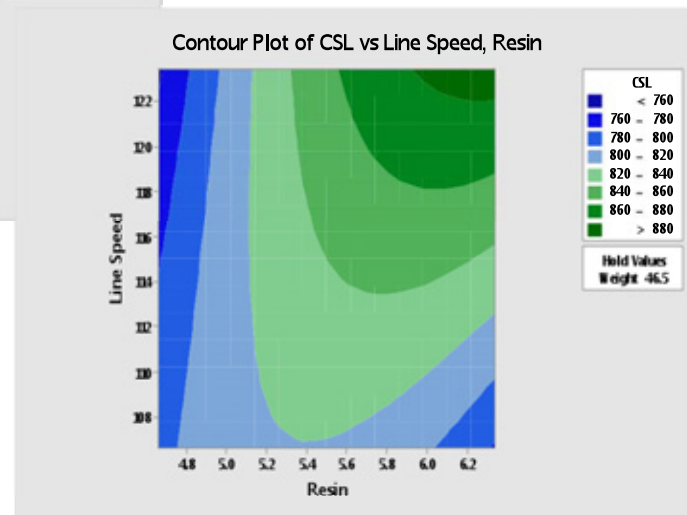
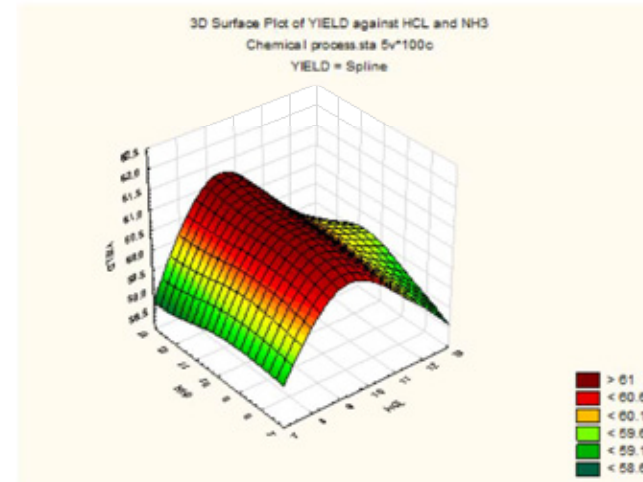
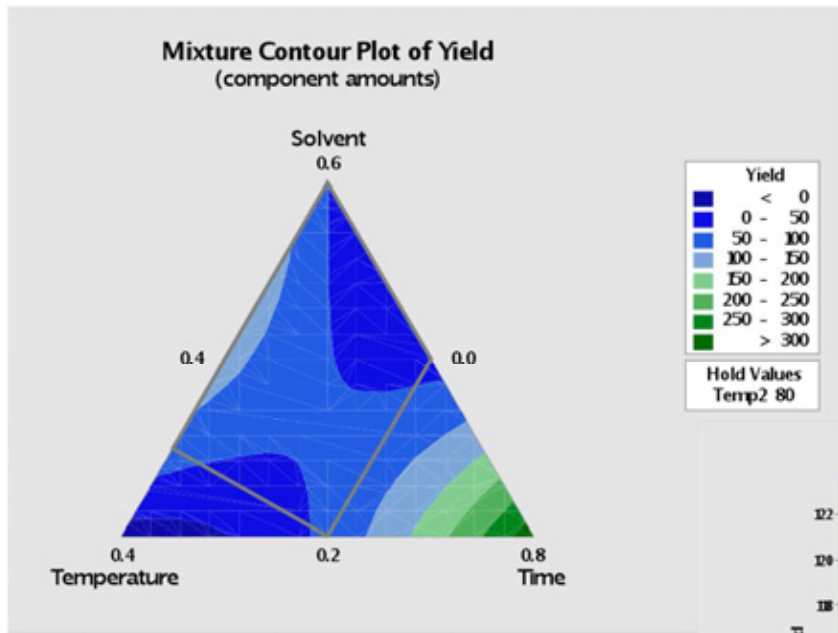
Kim, N., Y.S. Jeong, M.K. Jeong, and T.M. Young. 2012. Kernel ridge regression with lagged dependent variable: applications to prediction of internal bond strength in a medium density fiberboard process. IEEE Transactions on Systems, Man, and Cybernetics - Part C: Applications and Reviews. 42(6):1011-1020.

Product Optimization

“Response Surface Methodologies – Exploring Interactions”

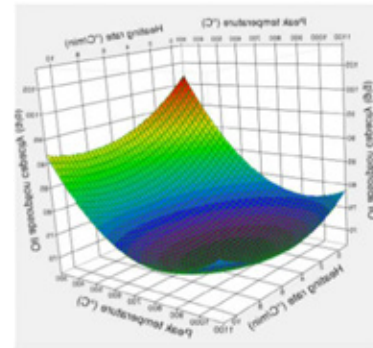
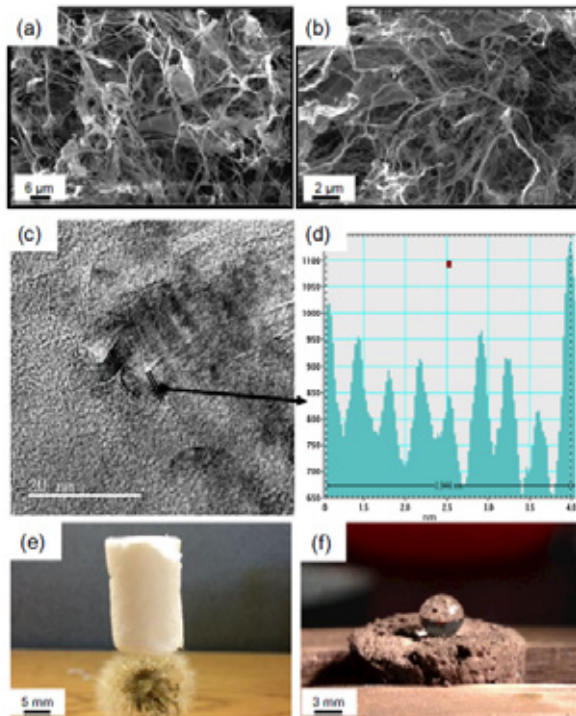
Predict $\hat{y}$ as a function of x_s that are represented by linear, quadratic and interaction terms in the model:

$$\hat{y} = b_0 + b_1x_1 + b_2x_2 + b_{11}x_1^2 + b_{22}x_2^2 + b_{12}x_1x_2$$

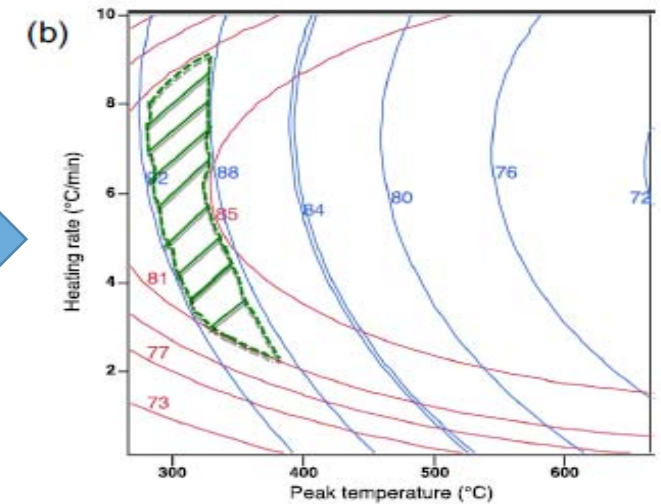
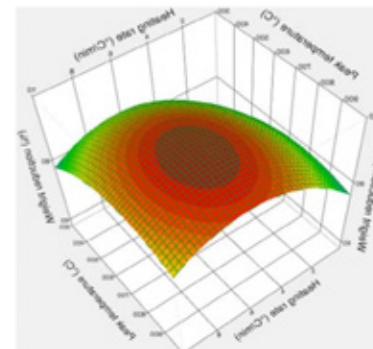


Product Optimization

“Response Surface Methodologies – Exploring Interactions”



Optimum conditions were: 300 °C peak temperature and a heating rate of 8.00 °C/min. The carbon aerogel achieved approximately 90.10 g/g of the normalized oil absorption capacity despite a weight reduction percentage of 82%.



Meng, Y., X. Wang, X., Z. Wu, S. Wang, T.M. Young. 2015. Optimization of cellulose nanofibrils carbon aerogel fabrication using response surface methodology. *European Polymer Journal*. 73:137-148.

*Meng, Y., T.M. Young, P. Liu, C.I. Contescu, Biaohuang, and S. Wang. 2015. Ultralight carbon aerogel from nanocellulose as a highly selective oil absorption material. *Cellulose*. 22(1):435-447.

Product Optimization – Lignin Yield

“Central Composite Design - Response Surface Model”

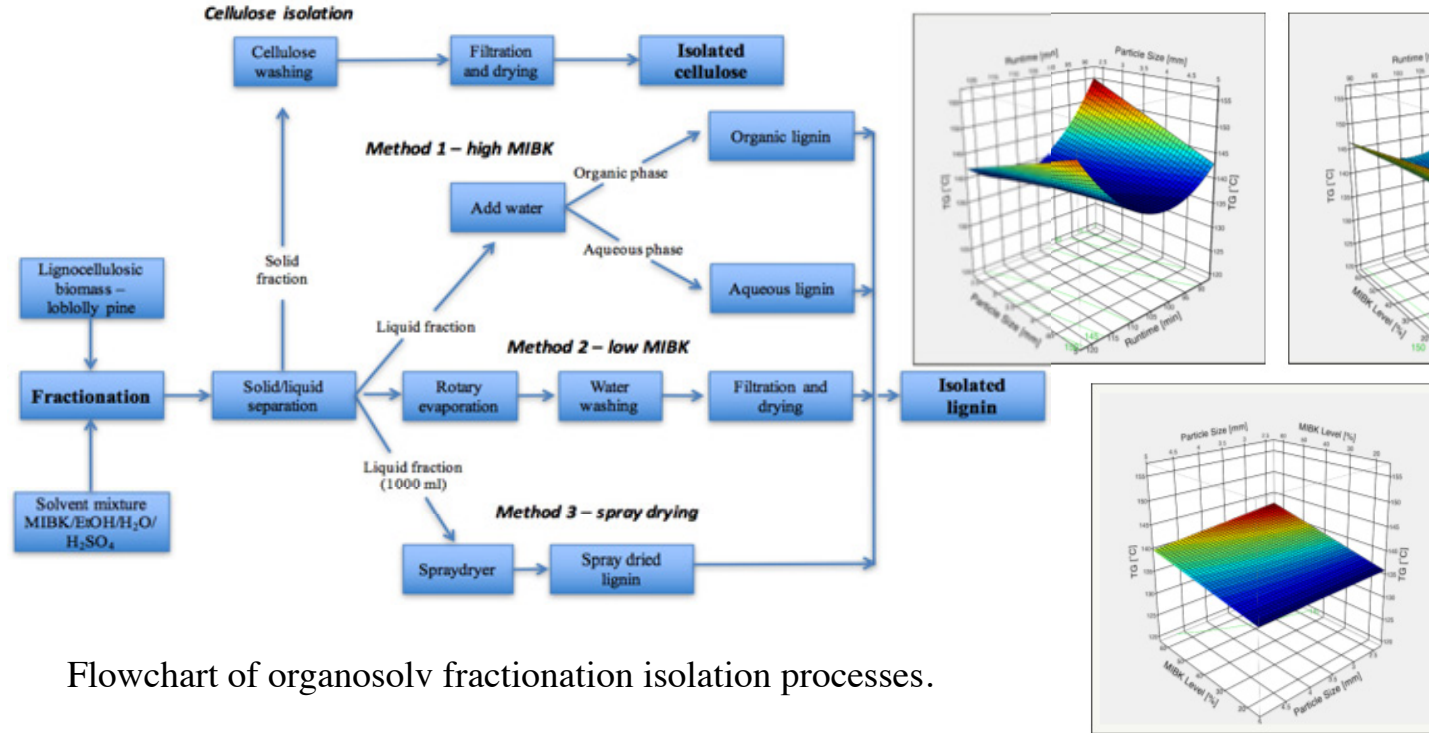


Table. Total lignin yield and wt% lignin from fractionation of loblolly pine.

Run #	Lignin isolated [g]	Lignin purity [%] ^b	Lignin yield [wt %] ^c	Run #	Lignin isolated [g]	Lignin purity [%]	Lignin yield [wt %] ^c
1	81.22	95.43	39.47	12 ^a	81.42	94.61	39.57
2	98.40	94.30	47.82	13 ^a	162.62	90.35	79.03
3	96.19	95.52	46.75	14 ^a	76.27	95.26	37.07
4	87.32	94.80	42.44	15	105.93	92.25	51.48
5	85.12	93.68	41.37	16	103.33	92.93	50.22
6	84.74	92.07	41.18	17	88.97	93.64	43.24
7	90.20	95.64	43.84	18	194.88	94.40	94.71
8	154.18	94.22	74.93	19	110.61	89.40	53.76
9 ^a	131.44	81.86	63.88	20	164.91	96.03	80.14
10 ^a	71.16	94.19	34.58	21	119.93	96.79	58.28
11 ^a	138.36	81.95	67.24	22	128.26	94.35	62.33

^a Center point runs; ^b Calculated as Klason + acid soluble lignin; ^c Lignin yields corrected for purity measurements

Table. Total cellulose yield and wt% cellulose from fractionation of loblolly pine

Run #	Biomass output	Cellulose yield [wt %]	Cellulose purity [%]	Run #	Biomass output [g]	Cellulose Yield [%]	Cellulose purity [wt %]
1	447.65	69.62	72.18	12a	361.69	58.91	65.62
2	292.85	47.7	63.34	13 ^a	165.87	27.02	73.99
3	460.22	74.96	76.19	14 ^a	338.80	55.19	61.78
4	263.97	43.00	55.13	15	354.90	57.81	71.87
5	408.63	66.56	70.48	16	183.79	29.94	74.26
6	325.15	52.96	62.78	17	397.43	64.74	70.61
7	425.74	69.35	72.86	18	184.59	30.07	74.10
8	237.09	38.62	78.24	19	327.81	53.4	70.97
9 ^a	160.20	26.09	53.60	20	211.68	34.48	80.70
10 ^a	376.78	61.37	64.27	21	271.71	44.26	68.41
11 ^a	159.36	25.96	57.70	22	180.39	29.38	57.03

^a Centerpoint runs in experimental design; ^b Lignin yields corrected for purity measurements

$$\text{Lignin yield [wt\%]} = 69.09155 + 7.272(\text{Temperature}) + 6.1305(\text{MIBK Level}) - 12.2016(\text{Particle Size}) - 5.6898(\text{MIBK Level} \times \text{Particle Size})$$

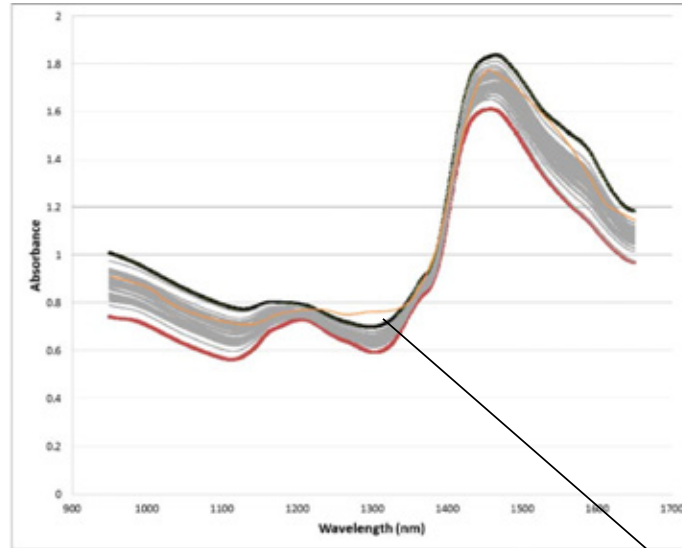
Average $R^2 = 0.74$ in 5 fold cross validation

Real-Time Sensing Technology

Problem: Development of automated real-time sensor systems for manufacturing applications, e.g., real-time detection system for HCHO carcinogenic gases from wood composites, feedstock quality for Switchgrass, etc.



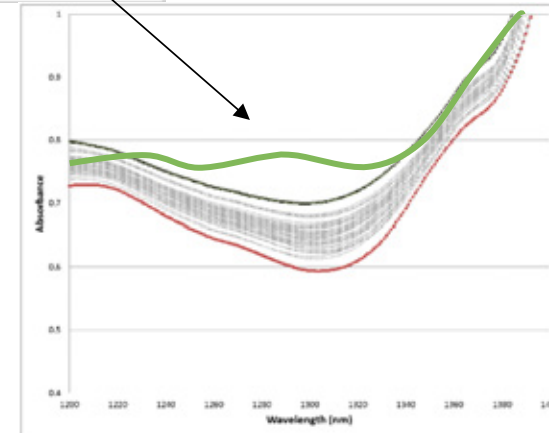
“It’s not what you look at, it’s what you see”
Henry David Thoreau



*Quantifying Natural
Variation of Feedstocks*

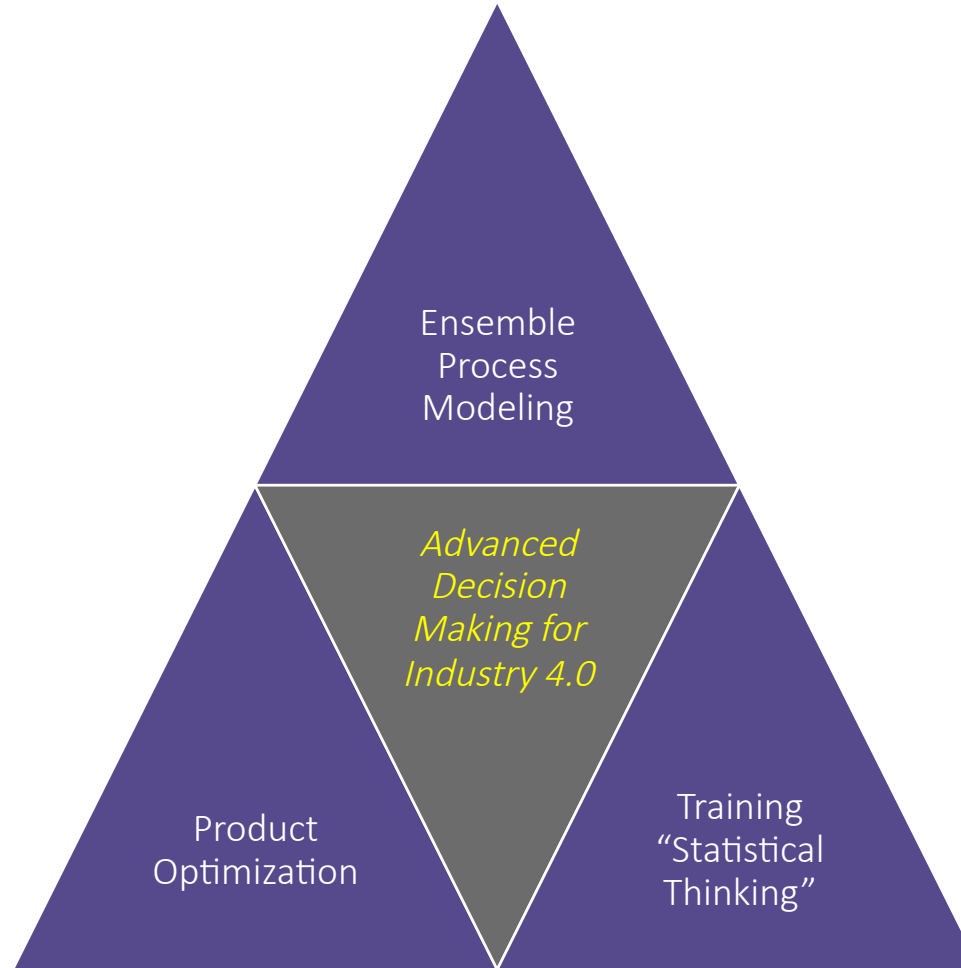


See on: www.spc4lean.com



Predicting “Out of Control” Variation

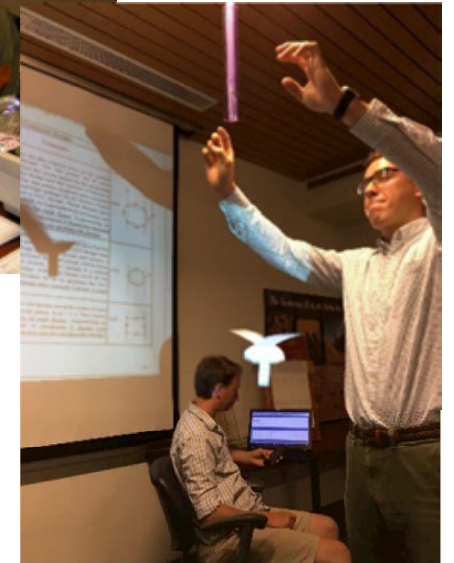
Research Program



“It is not the strongest of the species that survive, nor the most intelligent, but the ones most responsive to change” Darwin

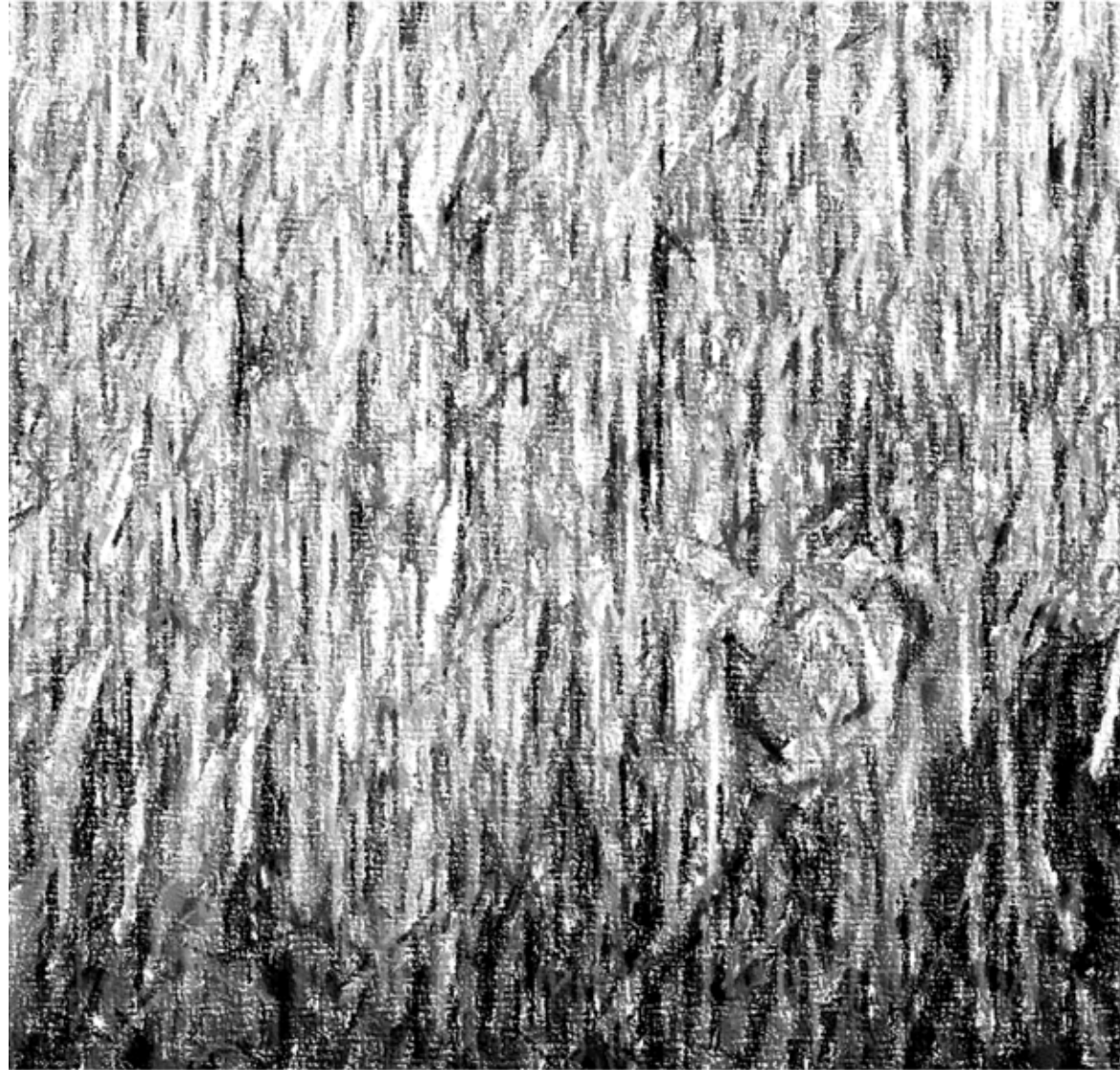
Statistical Training for Industry

Provide training for industry in Process Analytics, Statistical Process Control (SPC), Lean Methods, Data Mining, and Design of Experiments (*Trained more than 1000 people from more than 40 companies*)



Industrial Partners:

- | | | | |
|---------------------------|-----------------------------|------------------------------------|------------------------|
| § Georgia-Pacific Corp. | § Norbord Corp. | § Footner Forest Products - Canada | § Weyerhaeuser |
| § Louisiana-Pacific Corp. | § Langboard Corp. | § Finsa Industries - Spain | § Arauco North America |
| § Boise Cascade Corp. | § Georgia-Pacific Chemicals | § Anderson Tully Corp. | § Glanbia |
| § Brown Forman Corp. | § Hexion Chemicals | § Huntsman Chemicals | § Ocean Spray |
| § J.M. Huber Corp. | § Arclin Chemicals | § Tolko Inc. | § Etc., Etc..... |



*“The key is to be able to
detect the signal from
the noise”*
G. Taguchi

Questions?

